



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Group Art Unit: 3743

Richard P. Stoynoff, et al.

Examiner: Leonard R. Leo

Serial No.: 10/004,376

Filed: October 22, 2001

For: EXCHANGER OF THERMAL ENERGY WITH MULTIPLE
CORES AND A THERMAL BARRIER

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**INFORMATION DISCLOSURE STATEMENT AND
CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(1)**

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A and SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance. The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited on January 3, 2003 in a communication from a foreign patent office in a counterpart foreign application (copy of communication enclosed). This date is not more than three months prior to the filing of this Statement.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on:

January 10, 2003
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William G. Abbatt
Name of Person Signing

[Handwritten signature: W. G. Abbatt]

Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

A copy of each reference listed on the attached Forms PTO/SB08A and PTO/SB08B is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

Richard P. Stoyhoff, et al.

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Date: January 10, 2003

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